

CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/341151

INTERNATIONAL APPLICATION NO.

PCT/JP98/05009

INTERNATIONAL FILING DATE

6 November 1998 (06.11.98)

PRIORITY DATE CLAIMED

6 November 1997 (06.11.97)

TITLE OF INVENTION

DISPLAY DEVICE AND METHOD FOR PRODUCING THE SAME

APPLICANT(S) FOR DO/EO/US

TAKEUCHI, Yukihisa, NANATAKI, Tsutomu, SHIMOGAWA, Natsumi and AKAO, Takayoshi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☒ Other items or information:

PCT/RO/101
PCT/IB/301
International Publication

The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

☒ Search Report has been prepared by the EPO or JPO **\$840.00**

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) **\$670.00**

☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) **\$760.00**

☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$970.00**

☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) **\$96.00**

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☐ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	40 - 20 =	20	x \$18.00
Independent claims	17 - 3 =	14	x \$78.00

Multiple Dependent Claims (check if applicable) ☐

TOTAL OF ABOVE CALCULATIONS =

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30 +

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

TOTAL FEES ENCLOSED =

Amount to be refunded	\$
charged	\$

☒ A check in the amount of **\$2,332.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **50-0289** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a Petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Stephen P. Burr
Wall Marjama Bilinski & Burr
101 South Salina Street, Suite 400
Syracuse, NY 13202

Telephone: (315) 425-9000
Facsimile: (315) 425-9114

SIGNATURE

Stephen P. Burr

NAME

32,970

REGISTRATION NUMBER

1 July 1999

DATE

Serial No. Not Assigned	Filing Date Concurrently Herewith	Examiner Not Assigned	Group Art Unit Not Assigned
----------------------------	--------------------------------------	--------------------------	--------------------------------

Invention: **DISPLAY DEVICE AND METHOD FOR PRODUCING THE SAME**

I hereby certify that this **National Phase Patent Application**

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 1, 1999

(Date)

Elizabeth A. VanAntwerp

(Typed or Printed Name of Person Mailing Correspondence)

Elizabeth A. VanAntwerp

(Signature of Person Mailing Correspondence)

EL357246517US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

Not Assigned
U.S. APPLICATION NO.

PCT/IP98/05009
INTERNATIONAL APPLICATION NO.

6 November 1998
INTERNATIONAL FILING DATE

6 November 1997
PRIORITY DATE CLAIMED

TITLE OF INVENTION
DISPLAY DEVICE AND METHOD FOR PRODUCING THE SAME

APPLICANT(S) FOR DO/US
Yukihisa Takeuchi, Tsutomu Nanataki, Natsumi Shimogawa and Takayshi Akao

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: DO/US

"EXPRESS MAIL" mailing label number EL357246517US.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box PCT, Attention: DO/US, Assistant Commissioner for Patents, Washington D.C. 20231 on July 1, 1999.

Elizabeth A. VanAntwerp
Elizabeth A. VanAntwerp

SUBMISSION OF PROPOSED DRAWING AMENDMENT
FOR APPROVAL BY EXAMINER (37 C.F.R. 1.123)

Sir:

Attached please find

(check applicable items)

[] a sketch in permanent ink,

[x] a copy of the original drawing(s) with red ink markings,

showing the proposed changes to the drawing(s) (Figs. 41B, 62B) in this application, for which the approval of the Examiner is requested.

Respectfully submitted,

July 1, 1999

Date

Stephen P. Burr
Stephen P. Burr
Reg. No. 32,970

SPB/eav

WALL MARJAMA BILINSKI & BURR
101 South Salina Street, Suite 400
Syracuse, NY 13202
Telephone: (315) 425-9000
Facsimile: (315) 425-9114

Customer No.: 020874